Chapter 22: Elementary Graph Algorithms.

Definitions:

1. $G = (V, E)$, where $V$ is a set of points and $E$ is a set of edges connecting point-pairs from $V$.

2. We use $V$ synonymously with $|V|$ and $E$ with $|E|$ when the context is clear.

3. Adjacency list representation: randomly addressable vector $V$, with attributes as needed in an application, e.g. v.color, v.cnt. One attribute is v.adj, which hold a pointer to a linked list of edges leaving vertex v.

4. Adjacency list structure can be scanned in $\Theta(V + E)$ time.

5. Adjacency matrix representation: $V \times V$ matrix $M$ with $M_{ij}$ holding information about the edge, if any, between vertices $i$ and $j$. Typically, vertices are represented as 1, 2, 3, ..., and we need a parallel structure to hold vertex attributes. Matrix cells can hold edge attributes.

6. Adjacency matrix structure can be scanned in $\Theta(V^2)$ time.

7. $E = o(V^2)$ implies the list structure is more efficient; $E = \Omega(V^2)$ implies the matrix structure is equally efficient.
Algorithm for calculating the out-degree of each vertex, given an adjacency list representation.

Get-out-degree(V) {
    for u ∈ V loop {
        u.out-degree = 0
        for v ∈ u.adj loop {
            u.out-degree = u.out-degree + 1
            ∑_{i=1}^{V} (n_i + 1), where n_i is the edge count of u
        }
    }
}

Since \( E = \sum_{i=1}^{V} n_i \), we have \( T = 3V + 2E + 1 = \Theta(V + E) \).
Algorithm for calculating the in-degree of each vertex, given an adjacency list representation.

Get-in-degree(V) {  
    for u ∈ V loop  
        u.in-degree = 0  
    for u ∈ V loop  
        for v ∈ u.adj loop  
            v.in-degree = v.in-degree + 1  
    }  

Since $E = \sum_{i=1}^{V} n_i$, we have $T = 4V + 2E + 2 = \Theta(V + E)$. 
Algorithm for calculating the transpose graph, given an adjacency list representation.

Get-transpose(V) {
    for u ∈ V loop V + 1
        u.trans-adj = null V
    for u ∈ V loop V + 1
        for v ∈ u.adj loop ∑ V i=1
            add u to v.trans-adj ∑ V i=1 n_i

}  

Since $E = \sum_{i=1}^{V} n_i$, we have $T = 4V + 2E + 2 = \Theta(V + E)$. 
Algorithm for eliminating self-loops and multiple edges, given an adjacency list representation.

Get-reduction(V) {
    for u ∈ V loop {
        u.reduced-adj = null
        u.mark = 0
    }
    for u ∈ V loop
        for v ∈ u.adj loop {
            if (v ≠ u) and (v.mark ≠ u) {
                add v to u.reduced-adj
                v.mark = u
            }
        }
}

Observations:

1. The instruction count associated with the three lines marked with an asterisk lies between \( \sum_{i=1}^{V} n_i + 1 \), where \( n_i \) is the edge count of u
   \( \sum_{i=1}^{V} (n_i + 1) \), the former corresponding to all failures of the if-test and the latter to all successes.

2. Since \( \sum_{i=1}^{V} n_i = E \), we have \( 5V + 2E + 2 \leq T \leq 5V + 4E + 2 \), implying \( T = \Theta(V + E) \).

3. When \( u = 1 \), all v.mark values are 0 or 1, depending on whether edge (u, v) has been seen. When \( u = 2 \), all v.mark values are 0 or 1 or 2, where v.mark = 2 implies that edge (u, v) has already been seen, and the other values imply that edge (u, v) has not yet been seen. And so forth. Hence we never need to reset the mark attribute for subsequent values u in the outer loop.
Algorithm for calculating $G^2$, given an adjacency list representation. If $G = (V, E)$, $G^2 = (V, E^2)$, where $(u, v) \in E^2$ if and only if (a) $(u, v) \in E$ or (b) there exist a vertex $w$ with $(u, w) \in E$ and $(w, v) \in E$. (First step in constructing the transitive closure)

Get-transitive(V) {
    for u \in V loop {
        u.trans-adj = null
        for v \in u.adj loop {
            add v to u.trans-adj
            for w \in v.adj loop {
                add w to u.trans-adj
            }
        }
    }
    run Get-reduction on $G$ as given by $(V, v\text{.trans-adj})$ to remove duplicate edges
}

Observations:

1. For highly connected graph, say containing many $v$ connected to everything, get $E^2$ executions of third-level loop.

2. Last operation to remove duplicate edges is linear: $\Theta(V + E)$.

3. Complexity, worst case,

\[
T = 3V + 2E^2 + 3E + 1 = 2E^2 + \Theta(V + E) = \begin{cases} 
    \Theta(E^2), & E = \Omega(V^{1/2}) \\
    \Theta(V), & E = o(V^{1/2}).
\end{cases}
\]
Compare adjacency matrix solution. \([a_{ij}]\) is the given adjacency matrix; \([b_{ij}]\) is matrix of transitive augmentation.

Get-transitive(a, b) {
    for i = 1 to n loop  V + 1
        for j = 1 to n loop V (V + 1)
            if \(a_{ij} = 1\)
                \(b_{ij} = 1\)
            else {
                \(b_{ij} = 0\)
                for k = 1 to n loop
                    if \(a_{ik}a_{kj} = 1\) {
                        \(b_{ij} = 1\)
                        exit k-loop
                    }
            }
    }

The first if-statement executes \(V^2\) times. In a shortest execution, the statement will always succeed, adding \(2V^2\) to the \(2V^2 + 2V + 1\) noted in the code. In a longest execution, the statement will always fail, the subsequent if-statement always succeeds, and the exit will not be taken, adding \(V^2[2 + (V + 1) + 3]\) to the \(2V^2 + 2V + 1\) noted in the code.

\[
T(n) \geq 2V^2 + 2V + 1 + 2V^2 = 4V^2 + 2V + 1
\]
\[
T(n) \leq 2V^2 + 2V + 1 + 2V^2 + V^3 + V^2 + 3V^3 = 4V^3 + 5V^2 + 2V + 1
\]
\[
T(n) = \Omega(V^2)
\]
\[
T(n) = O(V^3).
\]

Note that the adjacency list approach can be \(O(V^4)\) in the worst case...
Breadth-first Search (BFS)

BFS($G, s$) // $G = (V, E)$, $s \in V$ 

\[
\text{for } v \in V \setminus \{s\} \text{ loop} \\
\quad v.\text{color} = \text{white} ; \\
\quad v.\text{d} = \infty ; \\
\quad v.\pi = \text{null} \\
\}
\]
\[
s.\text{color} = \text{gray} ; \\
s.\text{d} = 0 ; \\
s.\pi = \text{null} ; \\
Q = \emptyset ; \\
Q.\text{enqueue}(s) ;
\]

while $Q \neq \emptyset$ loop 

\[
u = Q.\text{dequeue}() ; \\
\quad \text{for } v \in u.\text{adj} \text{ loop} \\
\quad \quad \text{if } v.\text{color} = \text{white} \{ \\
\quad \quad \quad v.\text{color} = \text{gray} ; \\
\quad \quad \quad v.\text{d} = u.\text{d} + 1 ; \\
\quad \quad \quad v.\pi = u ; \\
\quad \quad \quad Q.\text{enqueue}(v) ; \\
\quad \quad \} \\
\quad \} \\
\]
\[
u.\text{color} = \text{black} ; \\
\}
\]

Observations:

1. Setup: $\Theta(V)$
   While-loop: Each $v \in V$ is enqueued at most once and assigned gray just prior to enqueue operation. Also no vertex ever reverts to white, which implies $O(V)$ while-loop iterations. Each iteration processes a disjoint set of edge links. Consequently, the while-loop activity is $O(V + E)$. BFS is then $O(V + E)$.

2. Cannot guarantee $\Omega(V + E)$ as the component containing $s$ might remain small as the graph size expands toward infinity, which would cause $(\text{instruction count})/(V + E) \to 0$ as size increases.
Definition: $\delta(s, v)$ is the shortest number of links in a path from $s$ to $v$, if such a path exists. Otherwise, $\delta(s, v) = \infty$.

Lemma 22.1: $(u, v) \in E \Rightarrow \delta(s, v) \leq \delta(s, u) + 1$.

Proof: If $\delta(s, u) = \infty$, desired result is certainly true. Otherwise, a path from $s$ to $u$, followed by edge $(u, v)$, is a competitor for $\delta(s, v)$, which implies the desired inequality.
We assume an adjacency list representation for the undirected graph to the left. The numbers specify the order in which edges appears on the various edge lists.

The vertex queue evolves as follows. Each vertex is represented a column vector. The top position records the vertex; the middle position records the \( d \) value; the final position records the \( \pi \) value (\( \phi \) means null).

\[
\begin{array}{c|cc|cc|cc|cc|cc}
\hline
s & s & w & r & s & w & r & t & x & s & w & r & t & x & v & s & w & r & t & x & v & u \\
0 & \Rightarrow & 0 & 1 & 1 & \Rightarrow & 0 & 1 & 1 & 2 & 2 & \Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & \Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & 3 \\
\hline
\phi & \phi & s & s & \phi & s & s & w & w & \phi & s & s & w & w & r & \phi & s & s & w & w & r & t \\
\hline
\Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & 3 & 3 & \Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & 3 & 3 \\
\Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & 3 & 3 & \Rightarrow & 0 & 1 & 1 & 2 & 2 & 2 & 3 & 3 \\
\phi & \phi & s & s & w & w & r & t & x & \phi & \phi & s & s & w & w & r & t & x \\
\hline
\end{array}
\]

The queue content is the segment between the two vertical lines. Items to the left of the first vertical line have been removed from the queue. The portion between the lines evolves as the last removed item contributes vertices from its adjacency list. We see that the process assigns a \( \pi \) value to each vertex (except \( s \)) that reflect the previous vertex leading to its discovery. We use these ancestor marks to evolve the following \( G_\pi \) tree.
Lemma 22.2: \( G = (V, E) \), directed or undirected. On termination of BFS(G, s), \( v.d \geq \delta(s, v) \) for all \( v \in V \).

Proof via induction on the number of enqueue() operations. We note that \( v.d \) is changed at most once for each \( v \in V \). For nodes that do not pass through the queue, \( v.d = \infty \), its initial value, at all times during the algorithm execution. Hence, for these nodes \( v.d = \infty \geq \delta(v, s) \) holds at the conclusion of the algorithm.

If a node \( v \) passes through the queue, then its \( v.d \) value is set just before it enters the queue. Specifically, \( v.d = u.d + 1 \) for a neighbor \( u \) that has already passed through the queue. By induction, we can assume \( u.d \geq \delta(u, s) \). So,

\[
v.d = u.d + 1 \geq \delta(u, s) + 1 \geq \delta(v, s),
\]

the last equality following from the triangle inequality and the fact that \((u, v) \in E\).
Lemma 22.3: When the queue contains $v_1, v_2, \ldots, v_r$, with $v_1$ being at the front (next to dequeue), then (a) $v_i.d \leq v_{i+1}.d$ for $1 \leq i \leq r - 1$, and (b) $v_1.d \leq v_2.d \leq \ldots \leq v_r.d \leq v_1.d + 1$.

Proof: The text proceeds via induction on the number of queue operations. Specifically, at the beginning of the while-loop, the queue contains only $s$, and inequalities (a) and (b) trivially hold.

Then, we change the queue only by dequeue and enqueue operations. We note that a dequeue operation cannot change inequalities (a) and (b).

Enqueue operations occur as the adjacency list of the last dequeued vertex, say $u$, is examined. Just before $u$ is dequeued, constraints (a) and (b) and the induction hypothesis ensure that the $*.d$ values in the queue must look like

\[ u.d, u.d, \ldots, u.d \quad \text{or} \quad u.d, u.d, \ldots, u.d + 1, u.d + 1, \ldots, u.d + 1. \]

Any enqueues that occur as the adjacency list of $u$ is explored place vertices at the back of the queue with $u.d + 1$ distance values. In either case (a) and (b) are preserved.
Corollary 22.4: If $v_i$ is enqueued before $v_j$, then $v_i.d \leq v_j.d$.

Proof: From the above, vertices added to the end of the queue are greater than or equal to all vertices in the queue. Any vertices that have already passed through the queue are smaller yet, or perhaps just no larger.
Theorem 22.5 BFS Correctness. $G = (V, E)$ is directed or undirected, $s \in V$. Then

1. BFS(G, s) discovers each $v \in V$ that is reachable from $s$ and, on termination, $v.d = \delta(s, v)$ for all $v \in V$.

2. If $v \neq s$ is reachable from $s$, then one shortest path (number of links) from $s$ to $v$ is a shortest path from $s$ to $v.\pi$ followed by the link $(v.\pi, v)$.

Proof:

Let $A = \{v \in V : v.d > \delta(s, v) \text{ at termination}\}$. Since Lemma 22.2 states that $v.d \geq \delta(s, v)$ for all $v \in V$, then $A = \phi$ implies $v.d = \delta(s, v)$ for all $v \in V$.

We proceed by contradiction: suppose $A \neq \phi$.

Choose $v \in A$ with $\delta(s, v) \leq \delta(s, w)$ for all $w \in A$.

Note: $v \neq s$ because $s.d = 0 = \delta(s, s)$ is established at setup and never changes, which implies $s \not\in A$.

Note: $v$ is reachable from $s$. If $v$ is not reachable from $s$, then $\delta(s, v) = \infty$ by definition, which implies $v.d > \delta(s, v)$ cannot hold, which implies $v \not\in A$.

Note: $\delta(s, w) < \infty$ for all $w \in A$. If $\delta(s, w) = \infty$, then $w.d > \delta(s, w)$ cannot hold, which implies $w \not\in A$.

Now, $v$ reachable from $s$ means there exists a path from $s$ to $v$. Hence, there exists a shortest path from $s$ to $v$. Let $u$ be the vertex just before $v$ on a shortest path from $s$ to $v$. That is, $(u, v) \in E$. 

\[ \delta(s, v) = \delta(s, u) + 1. \] That is, the link count to \( u \) from \( s \) must be minimal or else we are not on a shortest path from \( s \) to \( v \). Then
\[ \delta(s, u) < \delta(s, v), \] which implies, by our selection of \( v \), that \( u \not\in A \).

Therefore \( u.d = \delta(s, u) < \infty \), the last because \( u \) is reachable from \( s \). Then
\[(*) \quad v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1\]

When BFS dequeues \( u, v \) is white, or gray, or black.

If \( v \) is white: \( v.d = u.d + 1 \) executes, contradicting \((*)\).

If \( v \) is black, then \( v \) was dequeued earlier. Corollary 22.4 then forces \( v.d \leq u.d \), again contradicting \((*)\).

If \( v \) is gray, then \( v \) received gray after dequeuing some \( w \), and \( w \) dequeued before \( u \). In this case,
\[ v.d = w.d + 1 \leq u.d + 1, \] violating \((*)\).

This contradiction implies \( A = \emptyset \) and \( v.d = \delta(s, v) \) for all \( v \in V \) at termination.

Also, if \( v \) is reachable from \( s \) and not discovered by BFS (that is, passed through the queue), then \( v.d = \infty > \delta(s, v) \), a contradiction. Therefore, BFS discovers all reachable \( v \in V \).

Finally, if \( v.\pi = u \), then \( v \) was enqueued while scanning the adjacency list of \( u \), which implies \((u, v) \in E \) and \( v.d = u.d + 1 \), which gives \( \delta(s, v) = \delta(s, u) + 1 \). Therefore, one shortest path from \( s \) to \( v \) is a shortest path from \( s \) to \( u \), following by the link \((u, v) = (v.\pi, v)\).

Note \((v.\pi, v)\) links form a tree, since each added vertex is white when discovered. These trees are called \( G_\pi \)-trees. A shortest path to a vertex \( v \) can be obtained in reverse as
\[ v, u_1 = v.\pi, u_2 = u_1.\pi, u_3 = u_2.\pi, \ldots, s = u_n.\pi. \]
Depth-first Search (DFS)

DFS(G) // G = (V, E) {
    for v ∈ V loop
        v.color = white;
        v.π = null
    }
    time = 0;
    for v ∈ V loop {
        if v.color = white
            DFS-Visit(G, v);
    }
}

DFS-Visit(G, v) {
    time = time + 1;
    v.d = time;
    v.color = gray;
    for u ∈ v.adj loop
        if u.color = white {
            u.π = v;
            DFS-Visit(G, u);
        }
    v.color = black;
    time = time + 1;
    v.f = time;
}

Note:

DFS is Θ(V), exclusive of work done in DFS-Visit routines.

DFS is called on behalf of each v ∈ V exactly once, implying each adjacency list is scanned once, implying Θ(E).

DFS is then Θ(V + E).
Start-finish intervals and predecessor evolution.
$G_\pi$ trees and interval nestings.
Definitions: $e = (u, v) \in E$ is a

1. \textit{tree} edge if $v$ is first discovered via $e = (u, v)$. That is, $v$ is white when it is unpacked from the $u$.adj. Note that vertices connected by tree edges form trees because each edge extends to a white (heretofore undiscovered) vertex and therefore cannot produce a cycle. These $G_\pi$ trees are called \textit{depth-first trees}.

2. \textit{back} edge if $v$ is an ancestor of $u$ in a depth-first tree.

3. \textit{forward} edge if $e = (u, v)$ is not a tree edge and $v$ is a descendant of $u$ in a depth-first tree.

4. \textit{cross} edge if $e$ is not a tree, back, or forward edge. These edges can connect vertices in the same tree that have no ancestor-descendant relationship between them, or it can connect vertices in different depth-first trees.
Theorem 22.7 (Parenthesis Theorem): $G = (V, E)$ is a directed or undirected graph scanned by DFS. For distinct $u, v \in V$, exactly one of the following holds

1. $[u.d, u.f]$ and $[v.d, v.f]$ are disjoint and $u, v$ have no ancestor-descendant relationship in a $G_\pi$ tree.

2. $[u.d, u.f] \subset [v.d, v.f]$ and $u$ is a descendant of $v$ in a $G_\pi$ tree.

3. $[v.d, v.f] \subset [u.d, u.f]$ and $v$ is a descendant of $u$ in a $G_\pi$ tree.

Note:

1. $[u.d, u.f]$ and $[v.d, v.f]$ cannot partially intersect. They are either disjoint or one is a subset of the other.

2. There are no repetitions among the $\{u_1.d, u_2.d, \ldots, u_n.d, u_1.f, u_2.f, \ldots, u_n.f\}$. The time counter is incremented before every assignment.

3. The set of discovery and finish times is $\{1, 2, 3, \ldots, 2n\}$ for a graph with $n$ vertices.
Proof: Suppose $u.d < v.d$. As $u.f$ must occur after $u.d$, it can occur either before or after $v.d$. In the first case, we have $u.d < u.f < v.d < v.f$ and the intervals are disjoint. But, this order implies $v$ is discovered after $u$ becomes black. That is, all $G_{\pi}$ descendants of $u$ have been discovered before $v$ is discovered. Therefore $v$ is not a $G_{\pi}$ descendant of $u$.

Also, $u$ discovered before $v$ implies that $u$ is not white when the scan starts exploring descendants of $v$. Therefore $u$ is not a $G_{\pi}$ descendant of $v$. Case (1) holds.

Otherwise, we have $u.d < v.d < u.f$, which means that the adjacency list of $u$ is still being examined when $v$ is discovered. So the DFS-Visit for $v$ must terminate before the recursion can return to continue with the adjacency list of $u$. That is, $u.d < v.d < v.f < u.f$. As all vertices discovered while $u$ is gray become $G_{\pi}$ descendants fo $u$, we have $v$ is a descendant of $u$ in a $G_{\pi}$ tree. Case (3) holds.

In a parallel fashion, we obtain either Case (1) or Case (2) when $v.d < u.d$, depending on whether $v.f$ occurs before or after $u.d$.

Corollary 22.8 $v$ is a proper descendant of $u$ in a DFS $G_{\pi}$ tree if and only if $u.d < v.d < v.f < u.f$. 
Theorem 22.9 (White-path theorem): $G = (V, E)$ is a directed or undirected graph scanned by DFS. $u, v \in V$. Then $v$ is a descendant of $u$ in a $G_\pi$ tree if and only if, when $u.d$ is assigned, there exists a path from $u$ to $v$ consisting entirely of white nodes, including the endpoints.

Proof: ($\Rightarrow$) Assume $v$ is a $G_\pi$ descendant of $u$. If $v = u$, the path from $u$ to $v$ contains only $u$, which is white when the assignment $u.d = \text{time}$ is executed (see code).

If $v \neq u$, then nodes, say $w$, along the path from $u$ to $v$, including $v$ itself, but excluding $u$, are proper $G_\pi$ descendants of $u$. From Corollary 22.8, $u.d < w.d$, which implies that $w$ is white when $u.d$ is assigned. The nodes $w$ then constitute a white path from $u$ to $v$. 
(⇐) Assume that there exists a white path from $u$ to $v$ at the time $u.d$ is assigned. For purposes of deriving a contradiction, suppose that $v$ is not a $G_\pi$ descendant of $u$ in the $G_\pi$ tree containing $u$.

Let $w$ be the first vertex on the white path from $u$ to $v$ that is not a descendant of $u$. Then $w \neq u$, since $u$ is a descendant of itself. Consequently, there exists a predecessor of $w$, say $x$, on the path that must be a $G_\pi$ descendant of $u$. $x$ could be $u$ itself. We then have $u.d < x.d < x.f < u.f$, since the parenthesis theorem says that descendants finish within their parents. But, $w$ is on the adjacency list of $x$, since the link $(x,w) \in E$. Therefore, since $w$ is white, $w$ will be discovered between $x.d$ and $x.f$.

That is, $u.d < x.d < w.d < x.f < u.f$. However, since start-finish intervals cannot partially overlap, we must actually have $u.d < x.d < w.d < w.f < x.f < u.f$, which implies $w$ is a $G_\pi$ descendant of $u$, again by the parenthesis theorem. This contradiction concludes the proof.
Dynamic Edge Classification.

When DFS explores edge \((u, v)\) (that is, unpacks \(v\) from the adjacency list of \(u\)), we have

1. \(v\) white \(\Rightarrow\) \((u, v)\) is a tree edge
2. \(v\) gray \(\Rightarrow\) \((u, v)\) is a back edge
3. \(v\) black \(\Rightarrow\) \((u, v)\) is a forward, if \(u.d < v.d\), or cross edge, if \(u.d > v.d\).

Why? If \(v\) is white, then \(v.\pi = u\) is executed, laying in a \(G_\pi\) tree edge.
If \( v \) is gray, then the activation record (stack frame) for the DFS-Visit(\( G, v \)) is still on the stack. At the top of the stack is the activation record for \( u \) as we are going through the adjacency list of \( u \) when we unpack \( v \). That is, the stack frames from the one that is unpacking the adjacency list of \( v \) up through the top-of-stack that is unpacking the adjacency list for \( u \) represent a chain of vertices,

\[
v, x_1 \in v.\text{adj}, x_2 \in x_1.\text{adj}, \ldots, x_n \in x_{n-1}.\text{adj}, u \in x_n.\text{adj}
\]

where \((v, x_1), (x_1, x_2), \ldots, (x_{n-1}, x_n), (x_n, u)\) are all tree edges. Hence \( v \) is an ancestor of \( u \) in a \( G_\pi \) tree.
Finally, if $v$ is black, it is neither a tree edge or a back edge, and therefore forward or cross edge are the remaining possibilities. By the parenthesis theorem, if $(u, v)$ is a forward edge, then $v$ is a descendant of $u$, which implies $u.d < v.d < v.f < u.f$. If $(u, v)$ is a cross edge, then there is no ancestor-descendant relationship between $u$ and $v$. The intervals $[v.d, v.f]$ and $[u.d, u.f]$ are then disjoint. Consequently, $v.d$ cannot occur within the $[u.d, u.f]$ span — if it did occur there then it would finish there contradicting the disjoint nature of the intervals. So, $[v.d, v.f]$ occurs before $[u.d, u.f]$.

We can distinguish between forward and cross edges when $v$ is black, choosing forward if $u.d < v.d$ and cross if $v.d < u.d$. 
Theorem 22.10: DFS on an undirected graph finds only tree edges and back edges.

Proof: Consider \((u, v), (v, u) \in E\). Wolog \(u.d < v.d\). Then \(v\) on the adjacency list of \(u\) implies \(u.d < v.d < v.f < u.f\). However, the edge \((u, v)\) or the edge \((v, u)\) could have been explored first. The latter case occurs if some \(w\) earlier than \(v\) on the adjacency list of \(u\) leads to \(v\) via a sequence of tree edges. In processing \(v\), we find the edge \((v, u)\).

If this second case occurs, then \((u, v)\) is a back edge. Otherwise, \(v\) is white when unpacked from the adjacency list of \(u\), implying that \((u, v)\) is a tree edge.
Topological Sort of a directed acyclic graph.

Via decreasing finish times: shirt tie watch socks underwear pants shoes belt jacket

TopoSort(G) // G = (V, E) {
    topoList = null;
    for v ∈ V loop
        v.color = white;
        v.π = null
    }
    time = 0;
    for v ∈ V loop {
        if v.color = white
            DFS-Visit(G, v);
    }
}

DFS-Visit(G, v) {
    time = time + 1;
    v.d = time;
    v.color = gray;
    for u ∈ v.adj loop
        if u.color = white {
            u.π = v;
            DFS-Visit(G, u);
        }
    v.color = black;
    time = time + 1;
    v.f = time;
    add v to topoList;
}

Tentative algorithm: Does the example generalize?
Lemma 22.11: A directed graph is acyclic if and only if DFS finds no back edges.

Proof: \( \Rightarrow \) Suppose \( G = (V, E) \) is acyclic. For purposes of deriving a contradiction, suppose \((u, v)\) is a back edge. That is, \( v \) is an ancestor of \( u \) in a \( G_\pi \) tree. Then, there is a sequence of tree edges connecting \( v \rightarrow w_1 \rightarrow w_2 \rightarrow \ldots \rightarrow w_n \rightarrow u \). The edge \((u, v)\) then completes a cycle — a contradiction. We conclude that no back edges can be found.

\( \Leftarrow \) Suppose DFS finds no back edges. For purposes of deriving a contradiction, suppose there exists a cycle \( v_1 \rightarrow v_2 \rightarrow \ldots \rightarrow v_n \rightarrow v_1 \)

Let \( v_i \) be the first vertex of the cycle discovered by DFS. Let \( w \) be the previous vertex on the cycle; \( w = v_n \) if \( i = 1 \), otherwise \( w = v_{i-1} \). In any case, a link in the cycle connects \( w \) to \( v_i \). By the white-path theorem, at time \( v_i.d \), the cycle provides a white path to \( w \), which implies that \( w \) is a descendant of \( v_i \) in a \( G_\pi \) tree. Hence we have tree edges forming the path \( v_i \rightarrow x_1 \rightarrow x_2 \rightarrow \ldots \rightarrow w \). While exploring the adjacency list of \( w \), DFS finds \( v_i \) to be gray. Hence \((w, v_i)\) is a back edge — a contradiction. We conclude that no back edges implies no cycles.
Lemma 22.12 TopoSort works.

Proof: Need $u \neq v, (u, v) \in E \Rightarrow u.f > v.f$.

Consider the time when $v$ is unpacked from $u$.adj. If $v$ is gray, then $(u, v)$ is a back edge. This scenario is not possible because we assume that the input to TopoSort is acyclic.

Hence $v$ is white or black.

$v$ white $\Rightarrow (u, v)$ is a tree edge $\Rightarrow v$ is a descendant of $u$ $\Rightarrow u.d < v.d < v.f < u.f$ via the parenthesis theorem. Note $u.f > v.f$ as desired.

$v$ black (and $u$ gray since we are still exploring its adjacency list) $\Rightarrow v.f$ is assigned but $u.f$ has not yet been assigned $\Rightarrow u.f > v.f$ as desired.
Strongly connected components (Shamir algorithm)

Components in input graph:

![Graph Diagram]

$E$ finishes first, then $D$, then $C$. New start finishes $A$. Final start finishes $B$. Decreasing finish times: $BACDE$.

Components in transpose graph: Process in order $BACDE$ from DFS driver.

![Graph Diagram]

Note that a new SCC is found whenever control returns to the driver.
Tentative algorithm.

SCC(\( G \) // \( G = (V, E) \) { 
vertexList = null;
for \( v \in V \) loop 
  \( v.\text{color} = \text{white} \);
  \( v.\pi = \text{null} \)
}
time = 0;
for \( v \in V \) loop 
  if \( v.\text{color} = \text{white} \) 
    DFS-Visit1\( (G, v) \);
}
compute \( G \)-transpose;
for \( v \in V \) loop 
  \( v.\text{color} = \text{white} \);
  \( v.\pi = \text{null} \)
}
time = 0;
for \( v \in V \) loop { 
  \( v.\text{color} = \text{gray} \);
  \( v.\pi = v \);
  if \( u.\text{color} = \text{white} \) { 
    DFS-Visit1\( (G, u) \);
  }
  \( v.\text{color} = \text{black} \);
  \( v.f = \text{time} \);
  add \( v \) to vertexList;
}
}

dfs-visit1(\( G, v \) { 
  \( \text{time} = \text{time} + 1 \);
  \( v.d = \text{time} \);
  \( v.\text{color} = \text{gray} \);
  for \( u \in v.\text{adj} \) loop 
    if \( u.\text{color} = \text{white} \) { 
      \( u.\pi = v \);
      dfs-visit1\( (G, u) \);
    }
  \( v.\text{color} = \text{black} \);
  \( \text{time} = \text{time} + 1 \);
  \( v.f = \text{time} \);
}
}

dfs-visit2(\( G, v \) { 
  \( v.scc = \text{time} \);
  \( v.\text{color} = \text{gray} \);
  for \( u \in v.\text{adj} \) loop 
    if \( u.\text{color} = \text{white} \) { 
      \( u.\pi = v \);
      dfs-visit2\( (G, u) \);
    }
  \( v.\text{color} = \text{black} \);
}
}

Time remains \( \Theta(V + E) \).
Definition: Let $U$ be a set of vertices. Then, for a given DFS scan, let

\[ U.d = \min\{ u.d : u \in U \} \]
\[ U.f = \max\{ u.f : u \in U \}. \]
Lemma 22.14: Let $C, C'$ be strongly connected components in directed graph $G = (V, E)$. Suppose there exists $(u, v) \in E$ with $u \in C$ and $v \in C'$. Then $C'.f < C.f$ in a DFS scan of $G$.

Proof: Suppose $C'.d < C.d$. That is, $C'$ is discovered first. If a chain of DFS-Visit frames reaches $C$ before $C'.f$, then the chain will reach $u \in C$, then via $(u, v)$ it will reach $v \in C'$, thereby forming a cycle between components $C'$ and $C$. We conclude that $C'.f < C.d < C.f$.

Otherwise, $C.d < C'.d$. That is, $C$ is discovered first, say $C.d = x.d$ for $x \in C$. At $x.d$ time, there is a white path from $x$ to every vertex in $C \cup C'$ because the edge $(u, v)$ bridges the two components. Consequently, every vertex in $C' \cup C$ is a descendant of $x$. By the parenthesis theorem $C.d = x.d < y.d < y.f < x.f = C.f$ for all $y \in (C \cup C') \setminus \{x\}$. Since $C'.f = y.f$ for one of these $y$ vertices, we have $C'.f < C.f$.

Corollary 22.15: Let $C, C'$ be strongly connected components in directed graph $G = (V, E)$. Suppose there exists $(u, v) \in E^T$ with $u \in C$ and $v \in C'$. Then $C.f < C'.f$.

Proof: The strongly connected components of $G$ and $G^T$ are identical. Hence $(u, v) \in E^T$ implies $(v, u) \in E$, and the previous lemma then forces $C.f < C'.f$ in a DFS scan of $G$. 


Theorem 22:16: The SCC algorithm is correct.

Proof: The algorithm assigns a distinct component label to each $G_\pi$ tree produced by DFS in processing $G^T$. From this point, all references to discovery and finish times will refer to those times established in the first pass, when SCC is processing $G$. After this pass is finished, SCC processes $G^T$, initiating DFS-Visit2 chains from white vertices of decreasing finish times.

Suppose $G^T$-processing starts with SCC initiating DFS-Visit2 on the white node $w$ in component $C_1$. Then $C_1.f = w.f$ is the largest finish time over all vertices. In building this first $G_\pi$ tree, consider a first encounter with a viable vertex from another component, say $C_2$.

That is, in unpacking the transpose-adjacency list of $u \in C_1$, we find a white node $v \in C_2$. If follows that $(v, u) \in E$, and by Lemma 22.14, $C_1.f = w.f < C_2.f$, which is a contradiction because $w.f$ is the largest finish time.

We conclude that the $G_\pi$-tree that starts with $w \in C_1$ includes only vertices in $C_1$. Moreover, it must include all such vertices since the component is strongly connected. When the last DFS-Visit2 call returns to SCC, the driving loop passes over the black vertices of $C_1$ and initiates another chain of DFS-Visit2 calls, starting with a vertex $w' \in C'_1$, for which $w'.f = C'_1.f$ is the largest finish time of the remaining vertices.

We can repeat the argument to show that another $G_\pi$-tree is produced that holds only the vertices of component $C'_1$. An appeal to induction concludes the proof.